

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

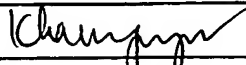
Application Number	10/656,551
Filing Date	September 5, 2003
First Named Inventor	Diana K. Smatters
Art Unit	2681
Examiner Name	
Attorney Docket Number	A3162Q-US-NP

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of 2

Non Patent Literature Documents

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
KN	—	European Search Report for EP counterpart Application No. 04255294.3, March 21, 2005.	<input type="checkbox"/>
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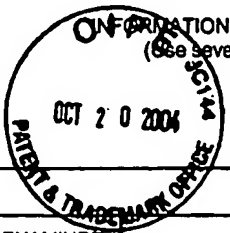
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6/14/05

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¹ Applicant's unique citation description number (optional). ² Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Form PTO-1449		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. A3162Q-US-NP		APPLICATION NO. 10/656,551	
				APPLICANT Diana K. Smetters et al.			
				FILING DATE 9/5/03		GROUP ART UNIT 2681	
				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE		CLASS	SUB CLASS	
KN	5,408,250	04/18/1995	Bier		345	169	
KN	6,243,373	06/05/2001	Turock		370	352	
KN	6,243,772	06/05/2001	Ghori et al.		710	68	
KN	6,366,654	04/02/2002	Cramer et al.		379	93.09	
KN	US 2002/0065065 A1	05/30/2002	Lunsford et al.		455	411	
KN	US 2004/0088548 A1	05/06/2004	Smetters et al.		713	175	
KN	US 2004/0103280 A1	05/27/2004	Balfanz et al.		713	169	

FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
KN	WIPO	WO 99/41876	08/19/1999	Telefonaktiebolaget LM Ericsson	Y

OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

KN	U.S. Patent Application No. 10/424,191 entitled "System and Method for Establishing Secondary Channels" to Conley et al.
KN	U.S. Patent Application No. 10/656,439 entitled "Method, Apparatus, and Program Product for Securely Presenting Situation Information" to Smetters et al.
KN	ASOKAN, N. et al.: "Key agreement in ad hoc networks", Computer Communications, Elsevier Science Publishers BV, Amsterdam, NL, vol. 23, no. 17, 1 November 2000 (2000-11-01), pages 1627-1637
KN	BALFANZ, D. ET AL., "Talking To Strangers: Authentication in Ad-Hoc Wireless Networks," Xerox Palo Alto Research Center, [Retrieved from the Internet at http://www.isoc.org/isoc/conferences/ndss/02/proceedings/papers/balfan.pdf on February 18, 2003] (Posted on the Internet on February 11, 2002).
KN	DRIDI, F. ET AL., "How to Implement Web-Based Groupware Systems Based on WebDAV," Published in Proc. of WETICE 99, IEEE 8th Intl. Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, Stanford, CA, pp. 1-7 (1999).
KN	FIELDING, R. ET AL., "Web-Based Development of Complex Information Products," Communications of the ACM, Vol. 41, No. 8, pp. 84-92 (1998).
KN	LOPES, D. ET AL., "Aerial Acoustic Communication," IEEE Workshop on Applications of Signal Processing to Audio and Acoustics, pp. 21-24, (2001).
EXAMINER <i>Khanna</i>	
DATE CONSIDERED <i>6/14/05</i>	
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				FILING DATE 9/5/03		GROUP ART UNIT 2681	
				OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)			
KN	SCHNEIER, BRUCE: "Applied Cryptography: Protocols, Algorithms, and Source Code in C" 1996, John Wiley & Sons, New York, US, Section 8.3 "Transferring Keys"						
KN	STAJANO, F. AND ANDERSON, R.: "The Resurrecting Duckling: Security Issues for Ad-hoc Wireless Networks" 1999, AT&T Software Symposium, 'Online!' 15 September 1999 (1999-09-15)						
KN	WHITEHEAD, JR., E. ET AL., "WebDAV, A Network Protocol for Remote Collaborative Authoring on the Web," pp. 1-21 (1999) [Retrieved from the Internet at http://citeseer.nj.nec.com/whitehead99webdav.html on March 11, 2003].						
KN	WHITEHEAD, JR., E. ET AL., "Lessons from WebDAV for the Next Generation Web Infrastructure," Department of Information and Computer Science, University of California [Retrieved from the Internet at http://www.ics.uci.edu/~ejw/http-future/Whitehead/http_pos_paper.html on September 20, 2002].						
EXAMINER				DATE CONSIDERED			
<i>Chang</i>				6/14/05			
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	APPLICANT Diana K. Smetters et al.	
	FILING DATE 9/5/2003	GROUP ART UNIT 2681

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
icn	US 2003/0078072 A1	04/24/2003	Serceki et al.	455	557

FOREIGN PATENT DOCUMENTS

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APPLICANT Diana K. Smetters et al.

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OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

KN	BARDAM, JAKOB E. ET AL. "Context-Aware User Authentication-Supporting Proximity-Based Login in Pervasive Computing", A.K. Dey et al. (Eds.): UbiComp 2003, LNCS 2864, pp. 107-123, 2003.
KN	GEER, DANIEL E. ET AL. "Token-Mediated Certification and Electronic Commerce", Proceedings of the Second USENIX Workshop on Electronic Commerce, Oakland, California, November 1996.
KN	KINDBERG, TIM and ZHANG, KAN "Secure Spontaneous Device Association", A.K. Dey et al. (Eds.): UbiComp 2003, LNCS 2864, pp. 124-131, 2003.
KN	KINDBERG, TIM and ZHANG, KAN "Validating and Securing Spontaneous Associations between Wireless Devices" HP Laboratories, Palo Alto, HPL-2002-256, Hewlett-Packard Company, September 12, 2002.
KN	"ACC: Automatic Cryptographic Configuration of Embedded Devices" XML Trust Center White Paper 19 th February 2002, http://research.verisign.com/Papers/ACC1.html
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
KN	5,519,778	5/21/1996	Leighton et al.	380	30
KN	5,539,824	7/23/1996	Bjorklund et al.	380	21
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KN	US 2002/0094087 A1	7/18/2002	Dellmo et al.	380	270
KN	US 2002/0147920 A1	10/10/2002	Mauro	713	200
KN	US 2002/0159598 A1	10/31/2002	Rubenstein et al.	380	259
KN	US 2003/0014646 A1	1/16/2003	Buddhikot et al.	713	184
KN	US 2003/0051140 A1	3/13/2003	Buddhikot et al.	713	169
KN	US 2003/0081774 A1	5/1/2003	Lin et al.	380	44
KN	US 2003/0117985 A1	6/26/2003	Fujii et al.	370	338

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OTHER DOCUMENTS (Including Author (In CAPS), Title, Publication Date, Pages, etc.)

KN	U. S. Provisional Patent Application No. 60/480,909 entitled "Methods and Apparatus for Establishing and Using a Secure Credential Infrastructure" to Diana K. Smetters et al., filed June 24, 2003.
KN	U.S. Patent Application No. 10/066,699 entitled "Systems and Methods for Authenticating Communications in a Network Medium" to Dirk Balfanz et al., filed February 6, 2002.
KN	U.S. Patent Application No. 10/231,194 entitled "Apparatus and Methods for Providing Secured Communication" to Dirk Balfanz et al., filed August 30, 2002.
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